Print

Subject:	Re: File #20160519-25314 - Imperial Oil Limited
From:	water.lulu@yahoo.ca (water.lulu@yahoo.ca)
То:	complaints@asc.ca;
Date:	Thursday, December 22, 2016 3:52 PM

Hello

The fully signed and stamped affidavit I provided with regard to this issue was submitted formally with the Ontario Securities Commission as a hard copy.

Thank you

Louisette Lanteigne 700 Star Flower Ave. Waterloo Ont. N2V 2L2

From: "water.lulu@yahoo.ca" <water.lulu@yahoo.ca> To: complaints <complaints@asc.ca> Sent: Thursday, December 22, 2016 3:04 AM Subject: Re: File #20160519-25314 - Imperial Oil Limited

Hello

Thank you for your email response provided to me on Wed. December 21, 2016. It is appreciated.

I checked my emails and I have not received the July 27, 2016 correspondence that you referred to. May I secure a copy of the original email including date and time of when it was sent?

The reply that your agency sent to me on December 21, 2016, it states the following passage:

Your complaint does not pertain to the Alberta Securities Act, therefore it is outside of the ASC's jurisdiction.

I believe my complaint does pertain to the Alberta Securities Act in regards to the following policies:

Securities Act Revised Statutes of Alberta 2000 Chapter S-4 Current as of May 27, 2016 Investigation order 41 (2) If an individual alleges under oath that a person or company has contravened Alberta securities laws, the Executive Director may, by order, appoint a person to make an investigation in respect of the allegation.

The complaint I filed is already in the form of a sworn affidavit so it complies with the above regulation. Although my original application for review was made through the Ontario Securities Exchange it was forwarded to the Alberta Security Commission, with my permission, for the sake of securing an investigation with the appropriate jurisdictional agency. The Alberta Securities Act allows for anyone to submit concern regardless if they live in our out of the province of Alberta. It relates to this policy:

Investigation order 41(1) The Executive Director may, by order, appoint a person to make any investigation that the Executive Director considers necessary (a) for the administration of Alberta

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securities laws, (b) to assist in the administration of the securities or derivatives laws of another jurisdiction, (c) in respect of matters relating to trading in securities or derivatives in Alberta, or (d) in respect of matters in Alberta relating to trading in securities or derivatives in another jurisdiction.

Jurisdiction 31 The Commission has the jurisdiction to determine all questions of fact or law that arise in any matter before it. 1999 c15 s7

The reason I am filing a formal request for investigation is because I sincerely believe there was a possible violation of law that took place.

Disclosure reviews 60.2(1) The Executive Director may conduct a review of the disclosures required under Alberta securities laws that have been made or ought to have been made by an issuer, investment fund or credit rating organization.

My sworn evidence shows Imperial Oil's CEO published statements about C02 in a corporate magazine that contradicts years of data found in their own research and reports. There was funding allocated by Exxon/Imperial specifically to produce data that contradicts the corporate findings. There was also non-disclosure of the risks associated with stranded assets.

I respectfully request a review by the Alberta Securities Commission regarding these issues.

My goal is to facilitate reasonable disclosure of risks in line with current knowledge and climate policies in order to mitigate risks for investors, pension funds, endowments and sovereignty funds in a manner that Honors the Crown and protects future generations.

Thank you kindly for your time.

Yours in good faith

Louisette Lanteigne 700 Star Flower Ave. Waterloo Ont. N2V 2L2

From: complaints <complaints@asc.ca> To: "water.lulu@yahoo.ca" <water.lulu@yahoo.ca> Sent: Wednesday, December 21, 2016 5:48 PM Subject: RE: File #20160519-25314 - Imperial Oil Limited

Good afternoon Ms. Lanteigne,

Since Imperial Oil Limited maintains their head office in Calgary, AB, the Alberta Securities Commission is the Principal Regulator. On July 27, 2016, the following email was sent to you regarding your complaint:

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The Alberta Securities Commission (ASC) has reviewed your complaint regarding Imperial Oil. The ASC is responsible for administering Alberta securities laws. Your complaint does not pertain to the Alberta Securities Act, therefore it is outside of the ASC's jurisdiction.

Regards,

Sarah Taylor



complaints

Alberta Securities Commission Suite 600, 250-5th Street SW Calgary, AB, T2P 0R4 Tel: 403.355.3888 Fax: 403.297.2210 Email: <u>complaints@asc.ca</u> www.albertasecurities.com



ASC



complaints Alberta Securities Commission Suite 600, 250-5th Street SW Calgary, AB, T2P 0R4 Tel: 403.355.3888 Fax: 403.297.2210 Email: complaints@asc.ca www.albertasecurities.com

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From: water.lulu@yahoo.ca [mailto:water.lulu@yahoo.ca] Sent: December-16-16 10:03 AM To: inquiries Subject: File #20160519-25314 - Imperial Oil Limited

Hello

May I please have an update on the status of File #20160519-25314 please.

Thank you

Louisette Lanteigne 700 Star Flower Ave. Waterloo Ont. N2V 2L2

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From: "inquiries@osc.gov.on.ca" <inquiries@osc.gov.on.ca> To: water.lulu@yahoo.ca Cc: inquiries@asc.ca Sent: Friday, June 3, 2016 9:46 AM Subject: Re: File #20160519-25314 - Imperial Oil Limited



Dear Ms. Lanteigne:

Thank you for your follow up complaint to the Ontario Securities Commission (OSC) concerning Imperial Oil Limited (Imperial).

Because Imperial's principal regulator is the Alberta Securities Commission (ASC), I am forwarding your affidavit and the supporting information on the DVD to the ASC for their review. If you wish to follow-up with the ASC, their contact information is available at this link: <u>http://www.albertasecurities.com/industry/Pages/default.aspx</u>

I will forward by mail the original affidavit and the DVD to ASC. Attached is a scanned PDF copy of your signed affidavit.

Sincerely,

Danielle Moore Lead Inquiries Officer Ontario Securities Commission inquiries@osc.gov.on.ca 416-593-8314 1-877-785-1555

The information in this e-mail should be taken as a guide. The content is not intended to provide investment, financial accounting, legal, tax or other professional advice and should not be relied upon or regarded as a substitute for such advice. We recommend that you seek advice from a qualified professional adviser before acting on the information or content appearing in this e-mail or any information or content on a web site to which a link has been provided.

(See attached file: May 31 2016.pdf)

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Attachments

- image001.gif (4.23KB)
- image002.jpg (21.92KB)

3/21/2017

• image003.jpg (13.69KB)